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Patent  
Case No.: 56964US002

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: SIMMONS, RICHARD L.

Application No.: 09/927663

Group Art Unit: 2839

Filed: August 10, 2001

Examiner: Chandrika Prasad

Title: THREE DIMENSIONAL OPTICAL CIRCUITS

COMMUNICATION AFTER NOTICE OF ALLOWANCEMail Stop: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF TRANSMISSION

To Fax No.: 703-872-9306

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on:

4-14-04

Date

Signed by: Melanie G. Gover

Dear Sir:

The undersigned respectfully requests that the Examiner return the PTO-1449 forms included with the Information Disclosure Statements dated August 27, 2002 and March 22, 2002, (both resubmitted on September 25, 2003), acknowledging consideration of the references listed thereon. Copies of the forms are enclosed for the Examiner's convenience.

The issue fee due on June 4, 2004, has not yet been paid.

Respectfully submitted,

April 14, 2004  
DateBy: Melanie Gover  
Melanie G. Gover, Reg. No.: 41,793  
Telephone No.: (512) 984-4308Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets if necessary)

Page 1 of 1

Application Number	09/927663
Filing Date	August 10, 2001
First Named Inventor	Simmons, Richard L.
Art Unit	2633
Examiner Name	Prasad, Chandrika
Attorney Case Number	56964US002

**U.S. Patent Documents**

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code If Known)			
	A1	US- 5,134,673	07/28/1992	Stephenson <i>et al.</i>	
	A2	US- 5,155,785	10/13/1992	Holland <i>et al.</i>	
	A3	US- 5,204,925	04/20/1993	Bonanni <i>et al.</i>	
	A4	US- 5,222,179	06/22/1993	Auteri	
	A5	US- 5,239,609	08/24/1993	Auteri	
	A6	US- 5,367,595	11/22/1994	Jennings <i>et al.</i>	
	A7	US- 5,381,501	01/10/1995	Cardinal <i>et al.</i>	
	A8	US- 5,394,502	02/28/1995	Caron	
	A9	US- 5,734,777	03/31/1998	Merriken <i>et al.</i>	
	A10	US- 5,774,617	06/30/1998	Stockman <i>et al.</i>	
	A11	US- 5,926,598	07/20/1999	Klein	
	A12	US- 5,937,133	08/10/1999	Moss <i>et al.</i>	
	A13	US- 6,005,991	12/21/1999	Knasel	
	A14	US- 6,034,821	03/07/2000	Schenfeld <i>et al.</i>	
	A15	US- 6,088,493	07/11/2000	Brenner	
	A16	US- 6,151,437	11/21/2000	Cherbettchian <i>et al.</i>	
	A17	US- 6,148,134	11/14/2000	Schoon	
	A18	US- 6,185,348 B1	02/06/2001	Shahid	
	A19	US- 6,222,976 B1	04/24/2001	Shahid	

**Foreign Patent Documents**

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-Kind Code (If known)				
	B1	WO	99/45719 A1	09/10/1999			
	B2	EP	1 180 708 A2	02/20/2002			

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement — PTO-1449 (Modified)

Substitute for form 1449A/PTO (rev. 1-78)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets if necessary)

Page 1 of 1

<b>Application Number</b>	<b>09/927,663</b>
<b>Filing Date</b>	<b>August 10, 2001</b>
<b>First Named Inventor</b>	<b>Simmons, Richard L.</b>
<b>Art Unit</b>	<b>2633</b>
<b>Examiner Name</b>	<b>Unassigned</b>
<b>Attorney Case Number</b>	<b>56964US002</b>

### U.S. Patent Documents

[illegible]

## Foreign Patent Documents

Exam. Init.*	File No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-Kind Code (if known)				
	B2	EP	1 180 708 A2	02/20/2002			Yes

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

		NON-PATENT LITERATURE DOCUMENTS	

**\*Examiner:**

**Date Considered:**

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Information Disclosure Statement: BTO 4-140-11 (filed)